

## Appendix: Lines on Pfaffian Hypersurfaces

BY A. BEAUVILLE

The aim of this appendix is to prove that a general pfaffian hypersurface of degree  $r > 2n - 3$  in  $\mathbb{P}^n$  contains no lines (Proposition 1). By a simple dimension count (see Corollary 4 below), it suffices to show that the variety of lines contained in the universal pfaffian hypersurface (that is, the hypersurface of degenerate forms in the space of all skew-symmetric forms on a given vector space) has the expected dimension. We will deduce this from an explicit description of the pencils of degenerate skew-symmetric forms, which is the content of the proposition below.

We work over an algebraically closed field  $k$ . We will need an elementary lemma:

**Lemma 4.** *Given a pencil of skew-symmetric forms on a  $n$ -dimensional vector space, there exists a subspace of dimension  $\lfloor \frac{n+1}{2} \rfloor$  which is isotropic for all forms of the pencil.*

*Proof.* By induction on  $n$ , the cases  $n = 0$  and  $n = 1$  being trivial. Let  $\varphi + t\psi$  be our pencil; we can assume that  $\varphi$  is degenerate. Let  $D$  be a line contained in the kernel of  $\varphi$ , and let  $D^\perp$  be its orthogonal with respect to  $\psi$ . Then  $\varphi$  and  $\psi$  induce skew-symmetric forms  $\bar{\varphi}$  and  $\bar{\psi}$  on  $D^\perp/D$ ; by the induction hypothesis there exists a subspace of dimension  $\lfloor \frac{n-1}{2} \rfloor$  in  $D^\perp/D$  which is isotropic for  $\bar{\varphi}$  and  $\bar{\psi}$ . The pull-back of this subspace in  $D^\perp$  has dimension  $\lfloor \frac{n+1}{2} \rfloor$  and is isotropic for  $\varphi$  and  $\psi$ .  $\square$

The following result must be well known, but I have not been able to find a reference:

**PROPOSITION 5.** *Let  $V$  be a vector space of dimension  $2r$ , and  $(\varphi_t)_{t \in \mathbb{P}^1}$  a pencil of degenerate skew-symmetric forms on  $V$ . There exists a subspace  $L \subset V$  of dimension  $r + 1$  which is isotropic for  $\varphi_t$  for all  $t \in \mathbb{P}^1$ .*

*Proof.* Again we prove the proposition by induction on  $r$ , the case  $r = 1$  being trivial. The associated maps  $\Phi_t : V \rightarrow V^*$  form a pencil of singular linear maps. By a classical result in linear algebra (see [G, Chap. XII, Thm. 4]), there exist subspaces  $K \in V$  and  $L' \in V^*$ , with  $\dim K = \dim L' + 1$ , such that  $\Phi_t(K) \subset L'$  for all  $t$ ; equivalently, there exist subspaces  $K$  and  $L$  of  $V$ , with  $\dim K + \dim L = 2r + 1$ , which are orthogonal for each  $\varphi_t$ . Replacing  $(K, L)$  by  $(K \cap L, K + L)$  we may assume  $K \subset L$ ; the pencil  $(\varphi_t)$  restricted to  $L$  is singular on  $K$ , hence induces a pencil  $(\bar{\varphi}_t)$  on  $L/K$ . Put  $\dim K = p$ , so that  $\dim(L/K) = 2r + 1 - 2p$ . By the above lemma there is a subspace

of  $L/K$ , of dimension  $r + 1 - p$ , which is isotropic for each  $\bar{\varphi}_t$ . Its pull-back in  $L$  has dimension  $r + 1$  and is isotropic for each  $\varphi_t$ .  $\square$

Let us give a few consequences of Proposition 5. We keep our vector space  $V$  of dimension  $2r$ ; we denote by  $\mathcal{S}_r$  the space of skew-symmetric forms on  $V$ , and by  $\mathcal{X}_r$  the hypersurface of degenerate forms in  $\mathbb{P}(\mathcal{S}_r)$ .

**Corollary 3.** *The variety of lines contained in  $\mathcal{X}_r$  is irreducible, of codimension  $r + 1$  in the Grassmannian of lines of  $\mathbb{P}(\mathcal{S}_r)$ .*

*Proof.* The  $(r + 1)$ -planes of  $V$  are parametrized by a Grassmannian  $\mathcal{G}$  of dimension  $r^2 - 1$ . For such a plane  $L$  the space  $\mathcal{S}_{r,L}$  of forms  $\varphi \in \mathcal{S}_r$  vanishing on  $L$  has dimension

$$\dim \mathcal{S}_{r,L} = \dim \Lambda^2 V^* - \dim \Lambda^2 L^* = r(2r - 1) - \frac{r(r + 1)}{2} = \frac{3r(r - 1)}{2}.$$

Let  $\mathcal{P}$  be the Grassmannian of lines in  $\mathbb{P}(\mathcal{S}_r)$  (that is, the variety of pencils of skew-symmetric forms). Consider the locus  $Z \in \mathcal{P} \times \mathcal{G}$  of pairs  $(\ell, L)$  with  $\ell \in \mathcal{S}_{r,L}$ . The projection  $Z \rightarrow \mathcal{G}$  is a smooth fibration; its fibre above a point  $L \in \mathcal{G}$  is the Grassmannian of lines in  $\mathbb{P}(\mathcal{S}_{r,L})$ , which has dimension  $2 \dim \mathcal{S}_{r,L} - 4$ . Thus  $Z$  is smooth, irreducible, of dimension  $r^2 - 1 + 2 \dim \mathcal{S}_L - 4 = 4r^2 - 3r - 5$ .

Let  $\mathcal{P}_{\text{sing}}$  be the subvariety of  $\mathcal{P}$  consisting of lines contained in  $\mathcal{X}_r$  (that is, the subvariety of singular pencils). The content of Proposition 5 is that  $\mathcal{P}_{\text{sing}}$  is the image of  $Z$  under the projection to  $\mathcal{P}$ . Thus  $\mathcal{P}_{\text{sing}}$  is irreducible, of dimension  $\leq 4r^2 - 3r - 5$ , or equivalently, since  $\dim \mathcal{P} = 2 \dim \mathcal{S}_r - 4 = 4r^2 - 2r - 4$ , of codimension  $\geq r + 1$ . On the other hand,  $\mathcal{P}_{\text{sing}}$  is defined locally by  $(r + 1)$  equations in  $\mathcal{P}$ , given by the coefficients of the polynomial  $\text{Pf}(\varphi_\ell)$  of degree  $r$ . The corollary follows.  $\square$

Observe that  $r + 1$  is the number of conditions that the requirement to contain a given line imposes on a hypersurface of degree  $r$  in projective space. In other words, Corollary 3 says that the hypersurface  $\mathcal{X}_r$  behaves like a general hypersurface of degree  $r$  as far as the dimension of its variety of lines is concerned.

Let  $L$  be a vector space, of dimension  $n + 1$ , and  $\ell = (\ell_{ij})$  a  $(2r \times 2r)$ -skew-symmetric matrix of linear forms on  $L$ . The hypersurface  $X_\ell$  in  $\mathbb{P}(L)$  ( $= \mathbb{P}^n$ ) defined by  $\text{Pf}(\ell_{ij}) = 0$  is called a *pfaffian hypersurface*. It is defined by the equation  $\text{Pf}(\ell_{ij}) = 0$ , of degree  $r$ .

**Corollary 4.** *If  $r > 2n - 3$  and the forms  $\ell_{ij}$  are general enough,  $X_\ell$  contains no lines.*

*Proof.* The matrix  $(\ell_{ij})$  defines a linear map  $u : L \rightarrow \mathcal{S}_r$ , which is injective when the forms  $\ell_{ij}$  are general enough (observe that  $\dim L < \dim \mathcal{S}_r$ ). Thus we can identify  $L$  to its image in  $\mathcal{S}_r$ , and  $X_\ell$  to the hypersurface  $\mathcal{X}_r \cap \mathbb{P}(L)$  in  $\mathbb{P}(L)$ .

Let  $G$  be the Grassmann variety of  $(n+1)$ -dimensional vector subspaces of  $\mathcal{S}$ , and  $F$  the variety of lines contained in  $\mathcal{X}_r$ . Consider the incidence variety  $Z \in F \times G$  of pairs  $(\ell, L)$  with  $\ell \in \mathbb{P}(L)$ . The fibre of the projection  $Z \rightarrow G$  at a point  $L \in G$  is the variety of lines contained in  $\mathcal{X}_r \cap \mathbb{P}(L) = X_\ell$ .

Put  $N := \dim \mathcal{S}_r$ . We have  $\dim F = 2N - 4 - (r + 1)$  by Corollary 3; the projection  $Z \rightarrow F$  is a fibration of relative dimension  $(n - 1)(N - n - 1)$ . This gives  $\dim Z = 2N - 4 - (r + 1) + (n - 1)(N - n - 1)$ , while  $\dim G = (n + 1)(N - n - 1)$ . Thus

$$\dim Z - \dim G = 2n - 3 - r < 0,$$

hence the general fibre of the projection  $Z \rightarrow G$  is empty.  $\square$

Note that ‘ $(\ell_{ij})$  general enough’ means ‘for  $(\ell_{ij})$  in a certain Zariski open subset of  $(L^*)^N$ ’. In particular, suppose that our vector space  $L$  comes from a vector space  $L_0$  over an infinite subfield  $k_0$  of  $k$ ; then *the matrices  $(\ell_{ij}) \in (L_0^*)^N$  such that  $X_\ell$  contains no lines are Zariski dense in the parameter space  $(L^*)^N$  for  $r > 2n - 3$ .*

## References

- [B] A. BEAUVILLE, Determinantal hypersurfaces, Mich. Math. J. 48 (2000), 39–64.
- [D1] J. DENEFF, The rationality of the Poincaré series associated to the  $p$ -adic points on a variety, Invent. Math. 77 (1984), 1–23.
- [D2] J. DENEFF, On the degree of Igusa’s local zeta function, Amer. J. Math. 109 (1987), 991–108.
- [DM] J. DENEFF, D. MEUSER, A functional equation of Igusa’s local zeta function, Amer. J. Math. 113 (1991), 1135–1152.
- [G] F. GANTMACHER, The Theory of Matrices, vol. 2, Chelsea, New York, 1984.
- [Ga] P. GARRETT, Buildings and Classical Groups, Chapman & Hall, 1997.
- [GrS1] F.J. GRUNEWALD, M.P.F. DU SAUTOY, Analytic properties of zeta functions and subgroup growth, Ann. Math. 152 (2000), 793–833.
- [GrS2] F.J. GRUNEWALD, M.P.F. DU SAUTOY, Zeta functions of groups: zeros and friendly ghosts, Amer. J. Math. 124:1 (2000), 1–48.
- [GrSS] F.J. GRUNEWALD, D. SEGAL, G.C. SMITH, Subgroups of finite index in nilpotent groups, Invent. Math. 93 (1988), 185–223.

- [H] H. HIRONAKA, Resolution of singularities of an algebraic variety over a field of characteristic zero. I,II., *Ann. of Math. (2)* 79 (1964), 109–203; 204–326.
- [I1] J.-I. IGUSA, Some observations on higher degree characters, *Amer. J. Math.* 99 (1977), 393–417.
- [I2] J.-I. IGUSA, Universal  $p$ -adic zeta functions and their functional equations, *Amer. J. Math.* 111 (1989), 671–716.
- [I3] J.-I. IGUSA, An Introduction to the Theory of Local Zeta Functions, *Studies in Advanced Mathematics* 41, AMS, International Press, Cambridge, MA (2000).
- [M] A.J. MACINTYRE, On definable subsets of  $p$ -adic fields, *J. Symbolic Logic* 41 (1976), 605–610.
- [Ma] L. MANIVEL, Symmetric Functions, Schubert Polynomials and Degeneracy Loci, *SMF/AMS Texts and Monographs*, Volume 6, SMF/AMS, 2001.
- [P] P.M. PAAJANEN, D.Phil. thesis, Oxford University, in preparation.
- [S1] M.P.F. DU SAUTOY, Natural boundaries for zeta functions of groups, preprint (<http://www.maths.ox.ac.uk/~dusautoy/newdetails.htm>).
- [S2] M.P.F. DU SAUTOY, A nilpotent group and its elliptic curve: non-uniformity of local zeta functions of groups, *Israel J. Math.* 126 (2001), 269–288.
- [S3] M.P.F. DU SAUTOY, Counting subgroups in nilpotent groups and points on elliptic curves, *J. reine angew. Math.* 549 (2002), 1–21.
- [SL] M.P.F. DU SAUTOY, A. LUBOTZKY, Functional equations and uniformity for local zeta functions of nilpotent groups, *Amer. J. Math.* 118:1 (1994), 39–90.
- [V1] C. VOLL, Counting subgroups in a family of nilpotent semi-direct products, preprint (<http://arxiv.org/abs/math.GR/0409382>).
- [V2] C. VOLL, Zeta functions of groups – singular Pfaffians (<http://arxiv.org/abs/math.GR/0309471>).
- [V3] C. VOLL, Zeta functions of groups and enumeration in Bruhat–Tits buildings, *Amer. J. Math.* 126 (2004), 1005–1032.
- [V4] C. VOLL, Zeta functions of groups and enumeration in Bruhat–Tits buildings, Ph.D. thesis, Cambridge University, 2002.
- [V5] C. VOLL, Normal subgroup growth in free class-2-nilpotent groups, *Math. Ann.*, to appear.

CHRISTOPHER VOLL, Mathematical Institute, 24 - 29 St. Giles', Oxford OX1 3LB, United Kingdom [voll@maths.ox.ac.uk](mailto:voll@maths.ox.ac.uk)

ARNAUD BEAUVILLE, Institut Universitaire de France, Laboratoire J.-A. Dieudonné, UMR 6621 du CNRS, Université de Nice, Parc Valrose, 06108 Nice Cedex 2, France [beauville@math.unice.fr](mailto:beauville@math.unice.fr)

Received: September 2003

Revision: February 2004